

APPARATUS AND METHODS FOR FINGER
DELAY SELECTION IN RAKE RECEIVERS

RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/257,439; filed December 22, 2000. This application is a continuation-in-part of prior application Serial No. 09/420,957, filed October 19, 1999, the disclosure of which is incorporated by reference herein in its entirety.

BACKGROUND OF THE INVENTION

The present invention relates to communications methods and apparatus, and more particularly, to spread spectrum communications methods and apparatus. Wireless communications systems are commonly employed to provide voice and data communications to subscribers. For example, analog cellular radiotelephone systems, such as those designated AMPS, ETACS, NMT-450, and NMT-900, have long been deployed successfully throughout the world. Digital cellular radiotelephone systems such as those conforming to 15 the North American standard IS-54 and the European standard GSM have been in service since the early 1990's. More recently, a wide variety of wireless digital services broadly labeled as PCS (Personal Communications Services) have been introduced, including advanced digital cellular systems conforming to standards such as IS-136 and IS-95, lower-power systems such as DECT (Digital Enhanced Cordless Telephone) and data 20 communications services such as CDPD (Cellular Digital Packet Data). These and other systems are described in *The Mobile Communications Handbook*, edited by Gibson and published by CRC Press (1996).

Several types of access techniques are conventionally used to provide wireless services to users of wireless systems. Traditional analog cellular systems generally employ a system referred to as frequency division multiple access (FDMA) to create communications channels, wherein discrete frequency bands serve as channels over which cellular terminals 5 communicate with cellular base stations. Typically, these bands are reused in geographically separated cells in order to increase system capacity. Modern digital wireless systems typically utilize different multiple access techniques such as time division multiple access (TDMA) and/or code division multiple access (CDMA) to provide increased spectral efficiency. In TDMA systems, such as those conforming to the GSM or IS-136 standards, 10 carriers are divided into sequential time slots that are assigned to multiple channels such that a plurality of channels may be multiplexed on a single carrier. CDMA systems, such as those conforming to the IS-95 standard, achieve increased channel capacity by using "spread spectrum" techniques wherein a channel is defined by modulating a data-modulated carrier signal by a unique spreading code, *i.e.*, a code that spreads an original data-modulated carrier over a wide portion of the frequency spectrum in which the communications system operates.

Conventional spread-spectrum CDMA communications systems commonly use so-called "direct sequence" spread spectrum modulation ("DS-CDMA"). In direct sequence modulation, a data-modulated carrier is directly modulated by a spreading code or sequence before being amplified by a power amplifier and transmitted over a communications medium, *e.g.*, an air interface. The spreading code typically includes a sequence of "chips" occurring at a chip rate that typically is much higher than the bit rate of the data being transmitted.

In a typical DS-CDMA system, data streams from different users are subjected to various signal processing steps, such as error correction coding or interleaving, and spread using a combination of a user specific spreading code and a group-specific scrambling code. 25 The coded data streams from the users are then combined, subjected to carrier modulation and transmitted as a composite signal in a communications medium.

A RAKE receiver structure is commonly used to recover information corresponding to one of the user data streams in a DS-CDMA system. In a typical RAKE receiver, a received composite signal is typically correlated with a particular spreading sequence 30 assigned to the receiver. Correlations are performed at a plurality of different times (*e.g.*, delays) to produce a plurality of time-offset correlations. The correlations are then combined in a weighted fashion, *i.e.*, respective correlations are multiplied by respective weighting factors and then summed to produce a decision statistic.

The performance of CDMA systems generally is limited by interference among different user signals. Spreading/despread provides a degree of interference suppression, but the number of users is generally limited by interference. Conventional RAKE reception techniques generally treat interference as uncorrelated (white) noise. Thus, conventional
5 RAKE receivers typically used the complex conjugates of channel coefficients estimated by a channel estimator as weighting factors. Such an approach may yield less than desirable results in CDMA systems, because the passing of interfering signals through the dispersive medium generally introduces correlation into the noise at the receiver.

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SUMMARY OF THE INVENTION

According to embodiments of the present invention, methods of recovering information encoded in a spread spectrum signal transmitted according to a spreading sequence in a communications medium are provided. Pursuant to these methods, a composite signal including the spread spectrum signal may be received from the communications medium and then correlated with the spreading sequence to produce a plurality of time-offset correlations of the composite signal with the spreading sequence. Some of these time-offset correlations may be selected to facilitate canceling out known interfering signals. A subset of the plurality of time-offset correlations may then be selected for combining using a weighted combination to estimate information encoded in the transmitted spread spectrum signal. Signal processors for implementing these methods are also provided.

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BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a schematic diagram illustrating a conventional terrestrial cellular communications system.

25 **Fig. 2** is a schematic diagram illustrating a conventional satellite based wireless communications system.

Fig. 3 is a schematic diagram illustrating a wireless terminal according to embodiments of the present invention.

30 **Fig. 4** is a flow chart illustrating operations for selecting and combining RAKE receiver finger delays according to embodiments of the present invention.

Fig. 5 is a schematic diagram illustrating a G-RAKE receiver according to embodiments of the present invention.

Fig. 6 is a schematic diagram illustrating an interference whitening (IW) RAKE receiver according to embodiments of the present invention.

Fig. 7 is a flow chart illustrating operations for selecting probing fingers according to embodiments of the present invention.

Fig. 8 is a schematic diagram illustrating the order in which probing fingers are selected in an exemplary embodiment of the present invention.

5 **Fig. 9** is a flow chart illustrating operations for selecting the probing fingers which are to be combined using an ordered search technique according to embodiments of the present invention.

Figs. 10A-D illustrate the selection of the probing fingers which are to be combined in an example of the ordered search techniques of **Fig. 9**.

10 **Fig. 11** is a flow chart illustrating operations for selecting the probing fingers which are to be combined using a constrained ordered search technique according to embodiments of the present invention.

Figs. 12A-D illustrate an example of a constrained ordered search which may be used in embodiments of the present invention.

Figs. 13A-13C together comprise a schematic diagram illustrating how to select probing fingers to cancel interference from an interfering base station according to embodiments of the present invention.

Fig. 14 is a schematic diagram illustrating the selection of the probing fingers in a modified version of the example of Fig. 13.

Fig. 15 is a flow chart illustrating methods of recovering information encoded in a spread spectrum signal according to embodiments of the present invention.

Fig. 16 is a flow chart illustrating methods of recovering information encoded in a spread spectrum signal according to alternative embodiments of the present invention.

DETAILED DESCRIPTION OF THE INVENTION

25 The present invention now will be described more fully hereinafter with reference to the accompanying drawings, in which embodiments of the invention are shown. This invention may, however, be embodied in many different forms and should not be construed as limited to the embodiments set forth herein; rather, these embodiments are provided so that this disclosure will be thorough and complete, and will fully convey the scope of the invention to those skilled in the art. In the drawings, like numbers refer to like elements.

30 The discussion herein relates to wireless communications systems, and more particularly, to wireless code division multiple access (CDMA) systems, for example, systems conforming to the IS-95 standards or to proposed standards for wideband CDMA

(WCDMA, CDMA2000, and the like). In such wireless communications systems, one or more antennas radiate electromagnetic waveforms generated by a transmitter located, for example, in a mobile terminal or base station. The waveforms are propagated in a radio propagation environment, and are received by a receiver via one or more antennas. It will be understood that, although the description herein refers to a radio environment, apparatus and methods are applicable to other environments, such as wireline communications and recovery of data from magnetic storage media.

In CDMA systems, information symbols are sent by modulating a traffic spreading sequence. A pilot channel is also sent, using a pilot spreading sequence. The present invention will be described with respect to a system that employs a pilot channel. However, the invention is not limited to such a system. If a pilot channel is not present or does not traverse the same channel as the traffic, then pilot symbols may be present in the traffic channel and may be used to estimate receiver parameters. If neither a pilot channel nor pilot symbols are present, then the receiver parameters may be obtained from traffic correlations using decision feedback.

Soft handoff is used in CDMA systems to improve performance. In this situation, the receiver receives signals from multiple base stations and uses these signals to recover information. Note that different base stations typically employ different spreading sequences. Thus, correlations corresponding to a particular base station would use the corresponding despreading sequences.

Fig. 1 illustrates a typical terrestrial cellular radiotelephone communication system **20** in which the apparatus and methods of the present invention may be utilized. The cellular radiotelephone system **20** may include one or more radiotelephones (terminals) **22**, communicating with a plurality of cells **24** served by base stations **26** and a mobile telephone switching office (MTSO) **28**. Although only three cells **24** are shown in **Fig. 1**, a typical cellular network may include hundreds of cells, may include more than one MTSO, and may serve thousands of radiotelephones.

The cells **24** generally serve as nodes in the communication system **20**, from which links are established between radiotelephones **22** and the MTSO **28**, by way of the base stations **26** serving the cells **24**. Each cell **24** typically has allocated to it one or more dedicated control channels and one or more traffic channels. A control channel is a dedicated channel used for transmitting cell identification and paging information. The traffic channels carry the voice and data information. Through the cellular network **20**, a duplex radio communication link may be effected between two mobile terminals **22** or between a mobile

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terminal 22 and a landline telephone user 32 through a public switched telephone network (PSTN) 34. The function of a base station 26 is to handle radio communication between a cell 24 and mobile terminals 22. In this capacity, a base station 26 functions as a relay station for data and voice signals.

5 As illustrated in **Fig. 2**, a satellite 42 may be employed to perform similar functions to those performed by a conventional terrestrial base station, for example, to serve areas in which population is sparsely distributed or which have rugged topography that tends to make conventional landline telephone or terrestrial cellular telephone infrastructure technically or economically impractical. A satellite radiotelephone system 40 typically includes one or
10 more satellites 42 that serve as relays or transponders between one or more earth stations 44 and terminals 23. The satellite conveys radiotelephone communications over duplex links 46 to terminals 23 and an earth station 44. The earth station 44 may in turn be connected to a public switched telephone network 34, allowing communications between satellite radiotelephones, and communications between satellite radio telephones and conventional terrestrial cellular radiotelephones or landline telephones. The satellite radiotelephone system 40 may utilize a single antenna beam covering the entire area served by the system, or, as shown, the satellite may be designed such that it produces multiple minimally-overlapping beams 48, each serving distinct geographical coverage areas 50 in the system's service region. The coverage areas 50 serve a similar function to the cells 24 of the terrestrial cellular system 20 of **Fig. 1**.

15 **Fig. 3** illustrates an exemplary wireless terminal 300, *e.g.*, a terminal for use in a wireless CDMA communications system, according to embodiments of the present invention. The terminal 300 includes a controller 312, such as a microprocessor, microcontroller or similar data processing device, that executes program instructions stored in a memory 314, such as a dynamic random access memory (DRAM), electrically erasable programmable read only memory (EEPROM) or other storage device. The controller 312 is operatively associated with user interface components such as a display 302, keypad 304, speaker 306, and microphone 308, operations of which are known to those of skill in the art and will not be further discussed herein. The controller 312 also controls and/or monitors operations of a
25 radio transmitter 380 that, for example, transmits radio frequency (RF) signals in a communications medium via an antenna 310.

30 The controller 312 is also operatively associated with a receiver 390. The receiver includes a correlation unit 392 that is operative to correlate a signal $r(t)$ received via the antenna 310 with a particular modulation sequence, for example, a scrambling or spreading

sequence. As described herein, the correlation unit 392 performs these correlations at correlation times, (e.g., delays), that are determined by a correlation timing determiner 394. Further discussion of operations for selecting correlation times, as well as further discussion of various components of the receiver, is provided herein.

5 It will be appreciated that the receiver 390 and other components of the terminal 300 may be implemented using a variety of hardware and software. For example, portions of the receiver 390, including the correlation unit 392 and correlation timing determiner 394, may be implemented using special-purpose hardware, such as an application specific integrated circuit (ASIC) and programmable logic devices such as gate arrays, and/or software or
10 firmware running on a computing device such as a microprocessor, microcontroller or digital signal processor (DSP). It also will be appreciated that although functions of the receiver 390 may be integrated in a single device, such as a single ASIC, they may also be distributed among several devices. Further, it will be appreciated that the receiver could be implemented at a base station or other terminal as well as in the mobile terminal depicted in Fig. 3.

15 Pursuant to embodiments of the present invention, finger delays for G-RAKE and IW-RAKE receivers may be selected so as to suppress both own-cell and other-cell interference. In particular, the RAKE receiver may be designed to use "probing" fingers to probe the noise correlation, specifically including the noise correlation due to own-cell and other-cell interference. Based on the knowledge of the noise temporal correlation provided by the probing fingers, a sub-set of the probing finger time offsets may be selected for traffic correlation and (weighted) combination to provide an optimized decision metric. By
20 selecting the time-offsets for the combining fingers based on the optimization of a performance metric, it may be possible to achieve a more robust system, that is less impacted by the effects of estimation errors.

25 Pursuant to specific embodiments of the present invention, methods of recovering information encoded in a spread spectrum signal transmitted according to a spreading sequence in a communications medium are provided, in which at least one multipath version of a composite signal including the spread spectrum signal is received from the communications medium. Time-offset versions of this composite signal may then be
30 generated at respective time offsets that are selected based on the time-offsets between received multipath versions of the composite signal. These time-offset versions of the composite signal may then be correlated with the spreading sequence to produce a plurality of time-offset correlations of the composite signal with the spreading sequence. A subset of these time-offsets may then be selected for combining, and a weighted combination of the

correlations corresponding to the selected time-offsets may be used to estimate information encoded in the transmitted spread spectrum signal.

In certain embodiments of the invention, the time-offsets selected may include time-offsets corresponding to the times at which multipath versions of the composite signal are received. The selected time-offsets may further include time-offsets a distance d in time from the times at which multipath versions of the composite signal are received. In still other embodiments, the time-offsets selected may include time-offsets at integer multiples of a distance d in time from the times at which multipath versions of the composite signal are received. In these embodiments, d may be an integer multiple of one quarter the value of the modulation chip period of the spread spectrum signal. The time-offsets selected may also include time-offsets at intervals shifted both forward and backwards in time from the times at which multipath versions of the composite signal are received by the differential delay between two multipath signals received from an interference source. In soft-handoff situations, the time-offsets selected may further include time-offsets corresponding to the times at which multipath versions of a second composite signal are received, or shifts of those times.

In still other embodiments of the present invention, the act of selecting a subset of the plurality of time-offsets for combining may comprise (i) determining performance metrics associated with each of a plurality of subsets of the plurality of time-offsets and (ii) selecting for combining purposes one of the plurality of the subsets of the plurality of time-offsets having a performance metric meeting a predetermined criterion. In certain of these embodiments, only subsets of the plurality of time-offsets having a specified number of members are considered. In these embodiments, the specified number of members may be predetermined, may be determined as a function of the number of multipaths of the composite signal received, or may be adaptively selected based on the improvement in the value of the performance metric provided by successive increases in the number of members. The performance metric selected may be a variety of parameters, such as signal-to-noise ratio.

In embodiments of the present invention, the performance metric associated with a given subset of the plurality of time-offsets may be determined using a variety of techniques, including a brute-force search, a constrained brute-force search, an ordered, search, and/or a constrained ordered search. In the constrained searches, the subsets considered for combining are typically constrained to include some number of time-offsets that correspond to the times at which certain multipaths of the composite signal are received.

In still other embodiments of the present invention, the weights used to form the weighted combination may be generated based on information regarding the noise correlation between the time-offset correlations of the composite signal and the estimated net channel response of the time-offset correlations of the composite signal. In these embodiments, the 5 information regarding the noise correlation between the time-offset correlations of the composite signal may comprise a noise covariance matrix, and the off-diagonal elements in the noise correlation matrix may be modified to reduce estimation noise.

Fig. 4 illustrates operations for receiving and processing a composite signal according to embodiments of the present invention. As shown in **Fig. 4**, operations commence with the 10 reception of a composite signal that includes a spread spectrum signal from the communications media (block 320). This composite signal is then analyzed to determine the location of the multipaths of the desired signal (block 321), using methods that are well known to those of skill in the art. A total of K delay values may then be selected which correspond to the time-offsets of the received signal that will be used in the pilot channel correlation process at the receiver (block 322). These delay values may be expressed as τ_0 , τ_1 , \dots , τ_{K-1} . The receiver may then correlate $r(t)$ with the pilot channel despreading code (which typically is the conjugate of the chip sequence used to spread the pilot signal) using the time-offsets, to produce a series of K despread values x_0, x_1, \dots, x_{K-1} (block 326). Note that, herein, the term "probing finger" is used to denote such time-offsets or time-offset correlations of the received signal $r(t)$ (or the magnitude of the time offset correlations, depending upon the context of the usage). Moreover, herein discussion of combining "probing fingers" refers to performing a weighted combination of a set of time-offset versions of $r(t)$ that have been correlated with the traffic channel despreading code. Note that with multicode transmission, correlation to multiple traffic channel codes may be needed.

25 Once the series of K despread values are produced at block 326, operations may then be performed to select a set of K_c of the probing fingers which will determine the time-offsets used for traffic channel correlations. These traffic channel correlations will be combined using a weighted combiner to provide a decision variable as to the information contained within the spread spectrum signal. The set of K_c of the probing fingers may be selected by 30 generating a set of weights (block 328) for each of the different combinations of K_c probing fingers and using these weights to calculate a metric (block 329) for each such combination (blocks 330, 331). Note, the weight generation process typically includes channel estimation and noise correlation estimation. A particular combination of probing fingers is then selected

and used to determine time-offsets for traffic channel correlation and combining (block 332), which is typically the set having a weighted combination that optimizes the metric. The corresponding set of traffic channel correlations (i.e., the set of traffic channel correlations having the same time-offsets) is then combined in the weighted combination to provide a 5 decision statistic (block 333).

Fig. 5 illustrates a correlation receiver 390' that may be used to carry out the operations of **Fig. 4** according to embodiments of the present invention. The receiver of **Fig. 5** is an interference rejection combining (IRC) RAKE receiver, which is now more commonly referred to as a generalized-RAKE or "G-RAKE" receiver. Such G-RAKE receivers are also 10 described in United States Patent Application Serial No. 09/344,899 to Wang et. al., filed June 25, 1999, and in United States Patent Application Serial No. 09/165,647 to Bottomley, filed October 2, 1998, each of which is assigned to the assignee of the present invention and incorporated by reference herein in its entirety.

15 In **Fig. 5**, the receiver 390' recovers information represented by a spread spectrum signal transmitted according to a desired spreading sequence s_d from a composite signal $r(t)$ received from a communications medium. The receiver 390' includes means for receiving the composite signal $r(t)$, for example, a radio processor 405 that performs such operations as amplifying the signal $r(t)$, and mixing, filtering and producing baseband samples $r(k)$ of the received signal $r(t)$. It will be appreciated that the radio processor 405 may perform a variety 20 of other functions as well.

25 A pilot correlation unit 392', here shown as a bank of delays 412 linked to a bank of correlators 414, correlates delayed versions of the baseband signal $r(k)$ to the pilot channel spreading sequence at K correlation times, $\tau_0, \tau_1, \dots, \tau_{K-1}$. It will be appreciated that the correlation unit 392' may be implemented in various other forms, for example, by using a sliding correlator or by controlling the start and stop times of the correlators to eliminate any need for the delay units 412. Time-offsets of the despreading code are used by the correlators. Operations and criteria by which the correlation timing determiner 394' may determine the correlation times $\tau_0, \tau_1, \dots, \tau_{K-1}$ are discussed in further detail herein.

30 The correlation timing determiner 394' also provides the delay values $\tau_0, \tau_1, \dots, \tau_{K-1}$ to a combining finger selector 418. The combining finger selector 418 is used to select a subset of the probing finger delays or time-offsets for combination. The combining finger selector 418 is responsive to the values x_0, x_1, \dots, x_{K-1} provided by correlation unit 392', correlation timing determiner 394', a weighting factor calculator 425 and a channel estimator

430. As discussed in detail below, a variety of techniques may be used to select a subset of the probing fingers for traffic correlation and combination, including various constrained and unconstrained brute-force searches and/or constrained and unconstrained ordered searches.

Once a subset of the probing fingers have been selected, the corresponding delays are used in a traffic correlation unit 393 to produce despread values for the traffic channel. The traffic despread values (produced by traffic correlation unit 393) corresponding to the selected probing fingers are combined in a weighted combiner 415. The weighting factors calculated for the selected time-offsets generated by weighting factor generator 425 are also input into weighted combiner 415. Additionally, other information, such as the statistical properties of the desired spreading sequence s_d and information about power of other spread spectrum signals included in the composite signal $r(t)$ may also be taken account in generation of the weighting factors. The weighted combiner 415 produces a decision statistic that may then be used by a detector 420 to estimate information represented by the originally transmitted spread spectrum signal corresponding to the desired spreading sequence s_d . The detector 420 may, for example, employ soft decision decoding, such as convolutional or turbo decoding.

It will be appreciated that the receiver 390' of Fig. 5 may be implemented in a number of different ways. Although the description herein refers to employment of the receiver 390' in a mobile or other terminal that is operative to communicate with a base station of a wireless communications system, the receiver 390' can be implemented in a number of other forms including, but not limited to, receivers used in cellular base station transceivers, satellite transceivers, wireline transceivers, and other communications devices. The pilot correlation unit 392', traffic correlation unit 393, correlation timing determiner 394', weighted combiner 415, combining finger selector 418, weighting factor generator 425, detector 420 and other components of the receiver 390' may be implemented using, for example, an application-specific integrated circuit (ASIC), digital signal processor (DSP) chip or other processing device configured to perform the described processing functions. It will also be understood that, in general, components of the receiver 390' may be implemented using special-purpose circuitry, software or firmware executing on special or general-purpose data processing devices, or combinations thereof.

Fig. 6 illustrates a receiver 390" according to another embodiment of the present invention, more particularly, a multistage interference whitening (IW) RAKE receiver as described in the aforementioned United States Patent Application Serial No. 09/344,898 to Bottomley et. al, filed June 25, 1999, and incorporated by reference herein in its entirety.

The receiver 390" recovers information represented by a spread spectrum signal transmitted according to a desired spreading sequence s_d from a composite signal $r(t)$ received from a communications medium. The receiver 390" includes means for receiving the composite signal $r(t)$, for example, a radio processor 405 that performs such operations for example, as 5 amplifying the signal $r(t)$, mixing, filtering and producing baseband samples $r(k)$ of the received signal $r(t)$. It will be appreciated that the radio processor 405 may perform a variety of other functions as well.

A pilot correlation unit 392", here shown as a bank of delays 412 linked to a bank of correlators 414, correlates delayed versions of the baseband signal $r(k)$ to the desired 10 spreading sequence s_d at correlation times $\tau_0, \tau_1, \dots, \tau_{K-1}$. The correlation unit 392" may be implemented in many other forms, such as by using a sliding correlator. The correlation times $\tau_0, \tau_1, \dots, \tau_{K-1}$ are determined by a correlation timing determiner 394". Operations and criteria by which the correlation timing determiner 394" may determine the correlation times $\tau_0, \tau_1, \dots, \tau_{K-1}$ are discussed in further detail herein.

15 Respective first and second groups of despread values $x_0, x_1, \dots, x_i, x_i, x_{i+1}, \dots, x_{K-1}$ produced by the correlation unit 392" are combined in respective first and second combiners 515a, 515b, here shown as channel compensating combiners that combine the correlations according to channel coefficients estimated by the channel estimator 535. As used herein, "channel compensating" combining generally refers to combining operations that include the 20 use of channel coefficients, including, but not limited to, operations that match a channel response as used in a RAKE combiner. It will be appreciated that the channel estimator 535 may estimate channel coefficients in a number of different ways, for example, by estimation from pilot symbols (e.g., in a pilot or other channel) or recovered data symbols. Such techniques are known to those skilled in the art and will not be described in further detail 25 herein. It will also be appreciated that although only two channel compensating combiners are shown, more may be provided, wherein each group of correlations that are fed into a particular channel compensating combiner are offset by a group offset from the correlations input to the other channel compensating combiners.

30 The output from the first stage combiners 515a, 515b are input into finger group selector 538. Finger group selector 538 compares various weighted combinations of the inputs from the first stage combiners 515a, 515b to determine a set of inputs that optimizes a decision metric such as signal-to-noise ratio. Potential methods of selecting such a subset of the inputs are discussed below. Once a set is selected, they determine the delays used in

traffic correlation unit 393' and the groups used in the channel compensating combiner bank **516**, which combine the traffic channel correlators. These results are provided to a weighted combiner **520** that combines the specified set of inputs according to weighting factors generated by a weighting factor generator **530**. Assuming BPSK modulation is used, the 5 combining operations performed by the weighted combiner **520** may be expressed as:

$$z = \text{Re} \{ \mathbf{w}^H \mathbf{y} \} \quad (1)$$

where z is the decision statistic produced by the combiner **520**, \mathbf{w} and \mathbf{y} are vectors representing the weighting factors and the output of combining finger selector **538**, respectively, and $\text{Re} \{ \}$ denotes the real part of the argument. If QPSK modulation is used, 10 the imaginary part is also computed.

The combiner **520** produces the decision statistic z that may be used by a detector **525** to estimate information represented by the originally transmitted spread spectrum signal corresponding to the desired spreading sequence s_d . The detector **525** may, for example, implement soft decision decoding, such as convolutional decoding or turbo decoding. As 15 discussed in more detail herein, the weighting factor generator **530** may generate the weighting factors in a number of different ways, including by explicit calculation from an estimated composite channel response and an estimated impairment correlation, or by adaptive filtering techniques.

It will be appreciated that the receiver **390"** of **Fig. 6** may be implemented in a 20 number of different ways. Although the description herein refers to employment of the receiver **390"** in a mobile or other terminal that is operative to communicate with a base station of a wireless communications system, the receiver **390"** can be implemented in a number of other forms including, but not limited to, receivers used in cellular base station transceivers, satellite transceivers, wireline transceivers, and other communications devices.

25 The pilot correlation unit **392"**, traffic correlation unit **393'**, correlation timing determiner **394"**, combiners **515a**, **515b**, **516**, **520**, channel estimator **535**, combining finger selector **538**, weighting factor generator **530**, detector **525** and other components of the receiver **390"** may be implemented using, for example, an application-specific integrated circuit (ASIC), digital signal processor (DSP) chip or other processing device configured to perform the 30 described processing functions. It will also be understood that, in general, components of the receiver **390"** may be implemented using special-purpose circuitry, software or firmware executing on special or general-purpose data processing devices, or combinations thereof.

Selection of Probing Finger Locations

Aspects of the present invention arise from the realization that in many cellular telephone systems operating under DS-CDMA, the frame error rate performance of a G-RAKE or IW-RAKE receiver may be more limited by other-cell interference than by own-cell interference. Consequently, prior techniques that are aimed at reducing or eliminating own-cell interference by, for example, determining impairment (noise plus interference) properties of the received composite signal using knowledge of the spread spectrum signals in the composite signal so that weighting factors may be generated such that in the combining process the interference and noise components are suppressed, may provide less than optimal limited improvement in frame error rate performance. Pursuant to the teachings of the present invention, it will be understood that probing fingers may be used to probe the noise correlation (specifically including both own-cell and other-cell interference noise components) to obtain information which may then be used to select a subset of the probing fingers for a weighted combination that maximizes a selected performance metric.

Fig. 7 illustrates operations for selecting the location of the probing fingers according to one embodiment of the present invention. In **Fig. 7**, K represents the number of probing fingers which are to be used, L represents the number of multipaths of the composite signal $r(t)$ that are received at the receiver, and $\tau_0, \tau_1, \dots, \tau_{L-1}$ are the delays associated with the respective multipaths of the received composite signal. These delays τ_i are ordered based on the signal strengths of the multipath signals, with τ_0 corresponding to the strongest multipath, τ_1 to the next strongest and so forth. A minimum spacing between probing fingers d may also be selected. In certain embodiments of the present invention, the minimum spacing is set as $d = T_c/2$ or as $d = 3T_c/4$, where T_c is the modulation chip period. However, those of skill in the art will appreciate that other minimum spacings may be used. Generally, the choice of d depends on the chip pulse shape and corresponding bandwidth.

As indicated in **Fig. 7**, the operations for selecting the probing fingers according to one embodiment of the present invention start by setting three counters, k, j and l , to zero (block 550). Then, it is determined whether $\tau_l - jd$ is within the interval d of any previously selected probing finger (block 552). Since at this point, no probing fingers have been selected, a probing finger is established at τ_0 (as both l and j are equal to zero), meaning it is "added" as the first entry in the list of probing fingers (block 554). The counter k , which tracks the number of probing fingers in the list, is then incremented by one (such that $k = 1$) (block 556). So long as k is less than K (block 558), the counter l is incremented by one

(block 562). If counter l is less than L (the number of multipaths) (block 564), operations resume at block 552.

So long as $K > L$, which will typically be the case as it is usually advantageous to include more probing fingers than there are multipaths of the composite signal, the operations of blocks 552 through 564 will result in adding probing fingers at times $\tau_0, \tau_1, \dots, \tau_{L-1}$ (*i.e.*, at the times of each multipath) to the list of probing fingers, so long as each multipath is separated from adjacent multipaths by at least d . Once at block 564 the counter $l = L$, counter l is reset to zero (block 566). Since at this point both l and j are zero, $\tau_l - jd = \tau_0$, and thus is within the interval d of one of the fingers (τ_0) on the list at the test of block 568.

10 Consequently, operations proceed to block 576 where counter l is incremented by one. Operations continue looping through blocks 568, 576 and 578 until counter $l = L$ (as each of the probing fingers $\tau_0, \tau_1, \dots, \tau_{L-1}$ have already been added to the list).

Once counter $l = L$ at block 578, counter l is reset to zero (block 580), and j is incremented by one (block 582). Operations then continue at block 552, except that j is now equal to one. The operations of blocks 552 through 564 will then result in probing fingers being added to the list of probing fingers at $\tau_0 - d, \tau_1 - d, \dots, \tau_{L-1} - d$, to the extent probing fingers at such locations are not within d of any probing finger currently on the list, and to the extent K probing fingers have not already been added to the list. Once counter $l = L$ at block 564, counter l is reset to zero (block 566), and then the operations of blocks 568 through 578 proceed to add probing fingers at times $\tau_0 + d, \tau_1 + d, \dots, \tau_{L-1} + d$ to the list, to the extent probing fingers at such locations are not within d of any probing finger currently on the list, and to the extent K probing fingers have not already been added to the list. Once counter $l = L$ at block 578, counter l is reset to zero (block 580), and j is incremented by one (block 582). Operations then proceed at block 552 as described above. This will result in the placement of 20 probing fingers at $\tau_0 - 2d, \tau_1 - 2d, \dots, \tau_{L-1} - 2d$, and then at $\tau_0 + 2d, \tau_1 + 2d, \dots, \tau_{L-1} + 2d$, and then at $\tau_0 - 3d, \tau_1 - 3d, \dots, \tau_{L-1} - 3d$, and then at $\tau_0 + 3d, \tau_1 + 3d, \dots, \tau_{L-1} + 3d$ and so forth until the number of probing fingers in the list equals K . Probing fingers, however, are not added, if they would be within the distance d of any probing finger already on the list.

Fig. 8 illustrates an example of probing finger selection according to the operations of 30 Fig. 7. In Fig. 8, it is assumed that there are three multipaths (*i.e.*, $L = 3$) which are located at times τ_0, τ_1 , and τ_2 , that the number of probing fingers will be twelve (*i.e.*, $K = 12$), and that $d = T_0/2$. The horizontal axis in Fig. 8 represents time. The numbers below the horizontal axis indicate the location (in time) at which the twelve probing fingers will be specified according

to the operations of **Fig. 7**, and the order in which they will be added to the list of probing fingers. Thus, **Fig. 8** shows that probing fingers will first be added at (1) τ_0 , (2) τ_1 , and (3) τ_2 , followed by probing fingers at times (4) $\tau_0 - d$, (5) $\tau_1 - d$, (6) $\tau_2 - d$ and (7) $\tau_0 + d$.
However, when the algorithm reaches $\tau_1 + d$, a probing finger is already present (as $\tau_1 + d$ is
5 identical to $\tau_2 - d$), so an additional probing finger is not added to the list. The operations of
Fig. 7 then continue, adding probing fingers to the list at times (8) $\tau_2 + d$, (9) $\tau_0 - 2d$, (10) $\tau_2 +$
2d, (11) $\tau_0 - 3d$ and (12) $\tau_2 + 3d$.

An alternative methodology for selecting probing fingers is to first place a probing finger at each of the L delay values $\tau_0, \tau_1, \dots \tau_{L-1}$ that correspond to the respective multipaths
10 of the received composite signal. As with the methodology of **Fig. 7**, the first probing finger is assigned to the strongest multipath, and the assignments continue in the order of decreasing multipath signal strength. Then instead of placing probing fingers at $\tau_0-d, \tau_1-d, \dots \tau_{L-1}-d$ before placing probing fingers on the "+d" side of each multipath, an alternating methodology is used where probing fingers are placed at $\tau_0-d, \tau_0+d, \tau_1-d, \tau_1+d, \tau_2-d, \tau_2+d$ and so forth. A similar methodology is used with probing fingers placed at the $\pm 2d, \pm 3d$, etc. offsets from
15 each multipath.

Those of skill in the art will also appreciate that probing fingers could be selected using various modifications to the above-described approaches. For instance, in the approach of **Fig. 7**, probing fingers could be selected at +d intervals from each multipath before selecting probing fingers at -d intervals from each multipath. Similarly, probing fingers might be omitted at the locations corresponding to a weak multipath (and \pm integer multiples of d from such a multipath). Moreover, it will be appreciated that a wide variety of other selection criteria may also be used.

In various wireless communications systems, such as systems operating under the IS-
25 95, IS-2000 and W-CDMA standards, the mobile terminals may monitor the signal-to-interference ratio of signals from non-active base stations. Typically, sliding correlations are used to obtain the delay profiles of one or two non-active base stations that are transmitting the strongest interfering signals received by the mobile terminal. These sliding correlations may be performed using additional hardware/software at the mobile terminal, or by time
30 sharing the hardware/software used to receive desired communications signals. These delay profiles of non-active stations may then be used in the selection of the probing finger locations. Additionally, the delay profile of at least the strongest non-active interfering base station may also be used to directly determine the delays of the combining fingers.

In particular, it may be shown that the multipath of a signal received from a non-active base station may be cancelled by placing probing fingers at intervals of $\pm\delta_i$ from each multipath from the active base station, where δ_i is the time offset or "differential delay" between any two multipaths from the non-active base stations. **Figs. 13 and 14** provide an 5 example of how the delay profiles of such non-active base stations may be used to select additional locations for probing fingers.

Fig. 13A illustrates the times at which various multipaths are received at a mobile terminal in an exemplary scenario. As shown in **Fig. 13A**, two multipaths I_1 and I_2 are received from a non-active base station, and a delay of δ separates these received signals.

10 The interfering signals I_1 and I_2 are basically identical, except for having different complex weighting due to independent Rayleigh fading. In this example a single multipath S_1 of the desired signal is received from the active base station.

Fig. 13B illustrates the time over which the interfering and desired signals will extend after despreading. As is apparent from **Fig. 13B**, both signals I_1 and I_2 overlap in time with the signal received by the finger centered on S_1 . As a result, they will appear as interference during demodulation.

Fig. 13C illustrates how additional fingers can be used to cancel out the interference depicted in **Fig. 13B**. Specifically, by placing a finger at $\pm\delta$ from S_1 it is possible to receive the mirror image of the interference. Consequently, by optimizing the combining weights of these fingers, these mirrored images can be used to cancel the interference that is received in the output of the RAKE finger located at the location of signal S_1 .

Fig. 14 expands upon the example of **Figs. 13A-13C** to illustrate the placement of probing fingers. In the example of **Fig. 14**, a second multipath of the desired signal S_2 has been included to further illustrate finger placement. As shown in **Fig. 14**, in this example a 25 finger is placed at the location of each desired multipath signal, and fingers are also placed at $\pm\delta$ from each desired multipath signal, where δ is the separation between interfering signals I_1 and I_2 . A more general method to place the probing fingers in such examples as shown in **Fig. 14** is to use the method in **Fig. 7** with d set to the time separation δ .

Selection of Probing Fingers to Control Traffic Correlation and Combining

30 After the probing fingers have been selected, a subset of these probing fingers may be selected for determining traffic channel correlation and combining. Four separate techniques for selecting the subset of probing fingers are described below, namely (i) an unconstrained brute-force search, (ii) a constrained brute-force search, (iii) an unconstrained ordered search

and (iv) a constrained ordered search. Those of skill in the art will understand in light of the present disclosure that alternative techniques may also be used, and that various modifications may also be made to the exemplary techniques disclosed herein without departing from the spirit or the scope of the present invention.

5 Unconstrained Brute-Force Search

Pursuant to embodiment of the present invention, the subset of the probing fingers that are to be combined are identified by an unconstrained brute-force search. For each of the different possible combinations of K_c combining fingers out of the K probing fingers, an SNR metric may be calculated as:

$$10 \quad \text{SNR}(i) = (\mathbf{h}^{(i)})^H \mathbf{w}^{(i)} \quad (2)$$

where $\mathbf{h}^{(i)}$ is the net channel response corresponding to the i^{th} combination of K_c different probing fingers, and $\mathbf{w}^{(i)}$ is the weight vector corresponding to the i^{th} combination. The net channel response for a probing finger with delay τ reflects the effects of the transmit pulse shape filter and/or other elements on the transmitting end, as well as the effects of the communications medium and the response of the receive filter. This net channel response for a probing finger may be determined from the channel coefficient estimates provided by the channel estimator as follows:

$$15 \quad h(\tau) = \sum_{l=0}^{L-1} g_l h_{tr}(\tau - \tau_l) \quad (3)$$

where g_l is the complex channel coefficient for multipath with a delay τ_l and where h_{tr} is the convolution between the transmit and receive filter responses. Thus, in the brute-force search $\mathbf{h}^{(i)}$ is a $K_c \times 1$ vector containing the net channel response $h(\tau)$ for each of the K_c probing fingers that are part of the i^{th} combination of probing fingers.

20 The combining weights $\mathbf{w}^{(i)}$ may be computed as:

$$25 \quad \mathbf{w}^{(i)} = (\mathbf{R}^{(i)})^{-1} \mathbf{h}^{(i)} \quad (4)$$

where $\mathbf{R}^{(i)}$ is the noise covariance matrix corresponding to the i^{th} combination of K_c different probing fingers. The noise covariance matrix corresponding to the i^{th} combination $\mathbf{R}^{(i)}$ may

include components attributable to own-cell interference, other-cell interference and thermal noise. $\mathbf{R}^{(i)}$ is a $K_c \times K_c$ matrix which may be decomposed into four terms:

$$\mathbf{R}^{(i)} = \mathbf{R}_{ISI}^{(i)} + \mathbf{R}_{OW}^{(i)} + \mathbf{R}_{OT}^{(i)} + \mathbf{R}_n^{(i)}, \quad (5)$$

where $\mathbf{R}_{ISI}^{(i)}$, $\mathbf{R}_{OW}^{(i)}$, $\mathbf{R}_{OT}^{(i)}$, and $\mathbf{R}_n^{(i)}$ are the correlation of the inter-symbol interference, the correlation of own-cell interference, the correlation of other cell interference and correlation of additive white noise, respectively. Methods of estimating and/or computing these various elements of $\mathbf{R}^{(i)}$ are known in the art, such as the methods disclosed in copending United States Patent Application Serial Nos. 09/344,898 and 09/344,899, the disclosure of which are hereby incorporated by reference, and in Y. E. Wang and G. E. Bottomley, "Generalized RAKE Reception for Cancelling Interference from Multiple Base Stations," Proceedings of IEEE Vehicular Technology Conference, Boston, September 2000, and hence will not be discussed further herein.

As is clear from the above discussion, the weighting factors contained in $\mathbf{w}^{(i)}$ take into account the statistical properties of the spreading sequences (*i.e.*, own-cell interference effects) as well as other-cell interference effects. Weighting factors $\mathbf{w}^{(i)}$ may be continuously updated or, alternatively, can be intermittently calculated, for example, upon substantial changes in the delays and/or the channel estimates. The weights corresponding to the i^{th} subset of probing fingers can be obtained by solving the equations:

$$\mathbf{R}^{(i)} \mathbf{w}^{(i)} = \mathbf{h}^{(i)} \quad (6)$$

As equation (6) describes a linear system, any number of techniques for solving linear systems may be used to solve for $\mathbf{w}^{(i)}$. In embodiments of the present invention, an iterative technique that can compute new weighting factors from already existing weights is utilized, so as to avoid the need for matrix inversion. For example, an iterative Gauss-Seidel technique may be used, where the weighting factors are calculated as:

$$w_m(k+1) = \left(h_m - \sum_{j=1}^{m-1} r_{mj} w_j(k+1) - \sum_{j=m+1}^n r_{mj} w_j(k) \right) / r_{mm}, \quad (7)$$

where w_m is an element in the vector $\mathbf{w}^{(i)}$ and h_m is an element in the vector $\mathbf{h}^{(i)}$. Also, n is the dimension of the vectors $\mathbf{w}^{(i)}$ and $\mathbf{h}^{(i)}$ (*i.e.*, $n = K_c$) r_{ij} is the $(i, j)^{\text{th}}$ element of the noise covariance matrix $\mathbf{R}^{(i)}$, and k is the stage of iteration. If the initial guess (the values of $\mathbf{w}^{(i)}$ in the previous stage or previous symbol period) are close to the correct solution, this iteration

should converge after only one or a few iterations. Thus, in embodiments of the present invention, only two iterations are used. Initially the weighting factors $w^{(i)}$ can be set to channel coefficient estimates, corresponding to traditional RAKE combining. Alternatively, they may be set to channel coefficient estimates normalized by noise covariance values.

5 Convergence can be accelerated by modifying the calculated $w_i(k + 1)$ to:

$$w_i(k + 1) = \lambda w_i(k + 1) + (1 - \lambda)w_i(k), \quad (8)$$

where λ is a relaxation parameter. For $1 < \lambda \leq 2$, faster convergence may be obtained for already convergent systems, and if normal iteration is not convergent, $\lambda \leq 1$ may be used to obtain convergence. Techniques for iterative solution of linear systems are described

10 generally in the *Handbook of Mathematics and Computer Science*, by Harris et al., published by Springer-Verlag (New York, 1998), at pp. 456-457.

Constrained Brute-Force Search

In other embodiments of the present invention, a constrained brute-force search may be used to determine which subset of the probing fingers that are combined. In this approach, probing fingers aligned with M of the multipaths are always selected for combining, where M is by definition less than or equal to L (the number of multipaths). The value of M may be selected based on a number of criteria, such as, for example, the absolute or relative powers of the received multipath signals. Typically, the probing fingers aligned with the M strongest multipaths are selected. The receiver then uses a brute-force search to search through the remaining $K - M$ probing fingers for selecting the remaining $(K_c - M)$ fingers for combination, using the brute-force search techniques described above. This constrained brute-force search can significantly reduce the number of combinations that must be searched.

Unconstrained Ordered Search

25 In other embodiments of the present invention, an unconstrained ordered search may be used to determine which subset of the probing fingers that are combined. Herein, the term "ordered search" refers to a search where selected probing fingers are determined one at a time or one-by-one. In this approach, the receiver searches through all K probing fingers to identify the probing finger which maximizes the SNR metric of Equation (2) above. This probing finger is placed in the subset of the probing fingers which are to be combined, which will be referred to as set S_1 . The receiver then searches through the combinations of the

selected probing finger and all the remaining probing fingers to identify a second probing finger which maximizes the SNR metric of Equation (2). This ordered search continues until all K_c combining fingers are found. In embodiments of the present invention, the unconstrained ordered search may be halted if the SNR metric does not increase by a certain amount. This ordered search is much less complex than the brute-force searching techniques described above, yet typically provides nearly equivalent performance. The Gauss-Seidel algorithm or other linear equation solving techniques may be used to obtain the combining weights during the ordered search.

Fig. 9 depicts the operations which are performed during one type of ordered search that is used in embodiments of the present invention. As shown in **Fig. 9**, operations begin by setting two counters, l and k , to zero, and by setting variable SNR_{Max} to zero (block 600). At this point, the set S_1 which contains the probing fingers that are to be combined is an empty set. A set S_t is then defined as the union of set S_1 (which is an empty set) and the l^{th} member of set S_0 , where S_0 is the set of all the probing fingers numbered from probing finger 0 to probing finger $K-1$ (block 602). Thus, at this point in the operations S_t comprises the first probing finger in set S_0 . The SNR metric as calculated from Equation (2) for set S_t is then computed and compared to SNR_{Max} (block 604). As at this point SNR_{Max} has been set to zero, operations continue at block 606 where SNR_{Max} is set to the SNR of S_t computed from Equation (2), and a variable d is fixed as $S_0(l)$ (which at this point is the first probing finger in set S_0 since $l = 0$). The counter l is then incremented (block 608), and l is compared to the value $K - k$ (block 610), where k indicates the number of entries currently in set S_1 . Since at this point l is less than $K - k$, operations continue at block 602. The operations of blocks 602 through 610 are then repeated until $l = K$, at which point the probing finger having the highest SNR metric will have been identified. Note that during these operations if the SNR of the current probing finger is less than SNR_{Max} , then the operations of block 606 are skipped. In this manner, when at block 610 l equals $K - k$ (i.e., all of the probing fingers in set S_0 have been searched), SNR_{Max} will be equal to the SNR of the probing finger with the highest SNR, and d will identify this probing finger.

At this point, operations continue at block 612 of Fig. 9, where probing finger d is added to set S_1 , and counter k is incremented by 1 to reflect this addition to set S_1 . Counter k is then compared to K_c (block 614). If k is less than K_c , operations continue by resetting both counter l and SNR_{Max} to zero (block 616), and by removing probing finger d from set S_0 (block 618). Operations then continue at block 602 as described above, except that in this case when looping through blocks 602 through 610 set S_t contains two members, and the

operations identify the probing finger which in combination with the probing finger identified during the initial loops through blocks 602 through 610 maximizes the SNR metric. These operations continue as shown in **Fig. 9** until $k = K_c$, at which point set S_1 contains the K_c probing fingers which are to be used as time offsets for traffic combining fingers.

5 **Figs. 10A-D** comprise an example of an unconstrained ordered search. In this example, it is assumed that there are three multipath signals ($L = 3$), that the number of probing fingers (K) is seven, and that the number of probing fingers which are to be combined (K_c) is three. As shown in **Fig. 10A**, seven probing fingers have been selected, at $T_s/2$ intervals starting at $\tau_0 - T_s/2$ and ending at τ_2 (numbered sequentially from 1 to 7 in the figure). As shown in **Fig. 10B**, during the first step of the search (*i.e.*, $k = 0$), the operations of **Fig. 9** will typically identify the probing finger corresponding to the multipath having the highest signal level (probing finger 2), and this probing finger is added to the set of probing fingers that are to be combined. Then, as shown in **Fig. 10C**, during the second step of the search (*i.e.*, $k = 1$), the SNR metric of Equation (2) for the combination of the selected probing finger and each of the remaining probing fingers is evaluated to determine which probing finger, when combined with probing finger 2, provides the highest SNR (in the case of the example of **Fig. 10**, probing finger 5 which is labeled as being the "best"), and probing finger 5 is added to the set of probing fingers that are to be combined. Finally, as shown in **Fig. 10D**, in the third step (*i.e.*, $k = 2$), the SNR metric of Equation (2) for the combination of probing fingers 2 and 5 with each of the remaining probing fingers is evaluated to determine which probing finger, when combined with probing fingers 2 and 5, provides the highest SNR (in the case of the example of **Fig. 10**, probing finger 7), and probing finger 7 is added to the set of probing fingers that are to be combined.

Constrained Ordered Search

25 In other embodiments of the present invention, a constrained ordered search may be used to determine which subset of the probing fingers that are combined. In this approach, the unconstrained ordered search described above is modified in that, before the search starts, the receiver identifies M probing fingers (typically the M probing fingers aligned with the strongest received signals) as combining fingers and adds them to set S_1 . As a result, the 30 search need only identify the remaining $K_c - M$ probing fingers that are to serve as combining fingers, thus further reducing the complexity of the search. Operations according to an exemplary constrained ordered search are depicted in **Fig. 11**.

Note that the operations of **Fig. 11** are identical to the operations depicted in **Fig. 9**, except that an additional step (block **621**) is included at the start of the operations. Thus, as shown in **Fig. 11**, operations begin by setting counter l to zero, by setting counter k to M , and by setting variable SNR_{Max} to zero (block **620**). At this point, the set S_1 which contains the probing fingers that are to be combined is an empty set. Then, in the operation of block **621**, a total of M probing fingers are identified as combining fingers and hence added to set S_1 , and the set S_0 is defined (as usual) as all the probing fingers that are not currently designated as combining fingers. In embodiments of this search technique, the "predesignated" combining fingers are the probing fingers at each multipath position.

A set S_t is then defined as the union of set S_1 and the l^{th} member of set S_0 (block **622**). The SNR metric as calculated from Equation (2) for set S_t is then computed and compared to SNR_{Max} (block **624**). As at this point SNR_{Max} has been set to zero, operations continue at block **626** where SNR_{Max} is set to the SNR of S_t computed from Equation (2), and a variable d is fixed as $S_0(l)$ (which at this point is the first probing finger in set S_0 since $l = 0$). The counter l is then incremented (block **628**), and l is compared to the value $K - k$ (block **630**), where k indicates the number of entries currently in set S_1 . As long as l is less than $K - k$, operations continue at block **622**. The operations of blocks **622** through **630** are then repeated until $l = K - k$, at which point the probing finger having the highest SNR metric will have been identified. Note that during these operations if the SNR of the current probing finger is less than SNR_{Max} , then the operations of block **626** are skipped. In this manner, when at block **630** l equals $K - k$ (*i.e.*, all of the probing fingers in set S_0 have been searched), SNR_{Max} will be equal to the SNR of the probing finger with the highest SNR, and d will identify this probing finger.

At this point, operations continue at block **632** of **Fig. 11**, where probing finger d is added to set S_1 , and counter k is incremented by 1 to reflect this addition to set S_1 . Counter k is then compared to K_c (block **634**). If k is less than K_c , operations continue by resetting both counter l and SNR_{Max} to zero (block **636**), and by removing probing finger d from set S_0 (block **638**). Operations then continue at block **622** as described above, except that in this case when looping through blocks **622** through **630** set S_t contains an additional member, and the operations identify the probing finger which in combination with the probing fingers identified during the initial loops through blocks **622** through **630** maximizes the SNR metric. These operations continue as shown in **Fig. 11** until $k = K_c$, at which point set S_1 contains the K_c probing fingers which are to be used as combining fingers.

Figs. 12A-D shows an example of a constrained ordered search similar to the unconstrained ordered search depicted in **Fig. 10**. In the example of **Figs. 12A-D**, it is assumed that there are three multipath signals ($L = 3$), that the number of probing fingers (K) is eight, and that the number of probing fingers which are to be combined (K_c) is five. As shown in **Fig. 12A**, eight probing fingers have been selected, at $T_c/2$ intervals starting at $\tau_0 - T_c/2$ and ending at $\tau_2 + T_c/2$ (numbered sequentially from 1 to 8 in the figure). As shown in **Fig. 12B**, pursuant to the constrained portion of the search (step 0), the probing fingers corresponding to each multipath are added to the set of probing fingers that are to be combined. As shown in **Fig. 12C**, during the first step (step 1) of the search (*i.e.*, $k = 3$), the SNR metric of Equation (2) for the combination of the three selected probing fingers and each of the remaining probing fingers is evaluated to determine which probing finger, when combined with probing fingers 2, 5 and 7, provides the highest SNR (in the case of the example of **Fig. 12**, probing finger 1 which is labeled as being the "best"), and probing finger 1 is added to the set of probing fingers that are to be combined. Then, as shown in **Fig. 12D**, during the second step of the search (*i.e.*, $k = 4$), the SNR metric of Equation (2) for the combination of the selected probing fingers (fingers 1, 2, 5 and 7) and each of the remaining probing fingers (fingers 3, 4, 6 and 8) are evaluated to determine which probing finger, when combined with probing fingers 1, 2, 5, and 7, provides the highest SNR (in the case of the example of **Fig. 12D**, probing finger 8 which is labeled as being the "best"), and probing finger 8 is added to the set of probing fingers that are to be combined.

In each of the above searches, the ability to suppress interference may be dependent on the number of combining fingers (K_c) designated. However, the larger the number of combining fingers, the more computationally complex the various searches become. In certain embodiments of the present invention, K_c is a fixed number. However, in other embodiments, K_c is a variable parameter that can be adjusted based on, for example, the number of multipath signals. K_c can also be adaptively adjusted by deciding whether or not to continue a search based on the difference in SNR (or some other metric) between the k and $k + 1$ steps of the search, and comparing that improvement to a threshold. Such a threshold can be a fixed number, or alternatively can be determined as some function of k . The parameter K_c may also depend on the number of active base stations as well as the processing capabilities of the receiver.

It will also be appreciated that the combining finger selection algorithms discussed herein may be extended to cover the soft handoff situation where a mobile terminal transfers

from one base station to a second base station. During such a soft handoff, the mobile terminal is simultaneously maintaining communications links with two or even three separate base stations. In this situation, the algorithms discussed above for selecting probing fingers and combining fingers may be extended to take into account that desired signals are incident 5 on the mobile terminal from multiple base stations. In particular, the methodology used to select probing fingers, such as the methodology depicted in **Fig. 7** (or alternative methodologies, such as those discussed in the text above) may be repeated for each of the base stations involved in the soft handoff. Then, in the combining finger selection process, all of these probing fingers can be searched using the aforementioned brute-force or ordered 10 search methods (or some other search method). During such soft-handoff situations, it may be desirable during the combining finger search process to cap the number of combining fingers which may be included for any given base stations to a value K_B . When such a cap is in place, any combination of probing fingers that would include more than K_B probing fingers that were selected with respect to a particular base station are excluded in the combining finger search. This serves to both reduce the complexity of the combining finger search 15 process, and may also enhance performance in certain situations, as combining too many fingers can reduce performance when estimation noise is present (e.g., noise in covariance matrix **R**). The threshold used to determine the number of combining fingers per base station (K_B) may depend on which base station is being considered and how many fingers are 20 already on certain base stations.

For the IW-RAKE receiver, the search methods already described can be applied to precombined groups of fingers rather than to fingers. Thus, there would be groups of probing fingers corresponding to shifts of plus or minus integer multiples of d from the multipath locations used in conventional RAKE combining. These groups of fingers would be 25 precombined using RAKE combining. Then, the noise covariance of these precombined groups would be estimated and used in determining which precombined groups to use in a second stage of combining.

Noise Covariance Shaping

As noted above, estimation noise in noise correlation matrix **R** can reduce the 30 performance of receivers constructed according to the teachings of the present invention. Accordingly, performance can be enhanced in many situations by modifying off-diagonal elements of **R**, particularly in situations in which the off-diagonal correlations are small. For example, a predetermined threshold c may be set which represents a minimum correlation

value, and for each element r_{ij} of matrix \mathbf{R} , r_{ij} is set to zero if $|r_{ij}|$ is less than c . Such noise covariance shaping may serve to both reduce the impact of estimation noise and to reduce the processing required in the selection of the combining fingers. In the case where an element r_{ij} of \mathbf{R} is set to zero, the probing finger pair d_i, d_j may be treated more like RAKE fingers.

5 Instead of setting a subset of the off-diagonal elements equal to zero as described above, they may instead be modified using either linear or non-linear approaches. One such approach is to scale the off-diagonal elements in \mathbf{R} by a value between zero and one. However, this has the effect of subtracting a larger degree of correlation for elements where the correlation is high. In another approach, a fixed amount (in magnitude) may be 10 subtracted from all off-diagonal elements (where a floor operation is used if the fixed amount exceeds the smallest element). In yet another approach, the amount removed from off-diagonal elements could depend on the value of those elements. For instance, each element r_{ij} could be replaced by $(\gamma)(|q|)(r_{ij})$, where γ is a parameter (e.g., 2) and where $q = r_{ij}/\max(r_{ii}, r_{jj})$, i.e., q corresponds to a normalized complex correlation $q = q_I + jq_Q$. The magnitude of q ($|q|$) may be approximated as: $0.4(|q_I| + |q_Q|) + 0.6 \max\{|q_I|, |q_Q|\}$. A clipping function 15 may also be used so that the scaled value never exceeds one. In such a technique, large correlation values may remain unchanged, whereas small ones may be scaled down.

20 **Fig. 15** is a flowchart diagram depicting a method of recovering information encoded in a spread spectrum signal transmitted according to a spreading sequence in a communications medium according to embodiments of the present invention. As illustrated in **Fig. 15**, a composite signal that includes the spread spectrum signal is received from the communications medium (block 700). In many instances, a plurality of multipath versions of this signal will be received, with each version being offset from the other versions in time. After receiving the composite signal, the receiver may generate time-offset correlations of the 25 composite signal with the pilot spreading sequence to produce a plurality of time-offset correlations of the composite signal with the pilot spreading sequence (block 704). A subset of these time-offsets may then be selected, using any of a number of different selection techniques and criteria, including the various exemplary techniques described above (block 706). The selected time-offsets are used to correlate the received signal to one or more traffic 30 spreading sequences. Finally, the traffic correlations may then be combined in a weighted combination to estimate information encoded in the transmitted spread spectrum signal (block 708).

In **Fig. 15**, in the selection process of block **706**, estimated combining weights are typically produced in the selection process. These weights may be used in the combining process of **708**. Specifically, these weights may be used as initial weights. As the selection process may only occur every certain number of symbol intervals (e.g., every other time slot 5 in a WCDMA system), the combining weights may need to be updated several times before the next selection process occurs. While the selection process provides initial weight values, these values can be updated as the noise covariance and the net channel response change. Because these change slowly, the Gauss-Seidel approach with only one iteration may be used.

Fig. 16 provides additional details as to how the time-offsets may be selected that are used in block **704** of **Fig. 15** in generating a plurality of time-offset versions of the received composite signal. As shown in **Fig. 16**, a set of time-offsets may first be selected that correspond to the locations of each multipath of the received composite signal (block **710**). In this manner, the RAKE receiver will have a probing finger aligned with each such multipath. A second set of time-offsets may then be selected that are located at fixed offsets from each of the time-offsets in the first set of time-offsets (block **712**). As discussed above with reference to **Fig. 7**, in embodiments of the present invention these fixed offsets include time offsets of d , $-d$, $2d$, $-2d$, etc. from each multipath of the received composite signal, where d is typically an integer multiple of one quarter the value of the modulation chip period of the spread spectrum signal. A third set of time-offsets may also be selected at locations which facilitate canceling a known interference source (block **714**), as described above with respect to **Figs. 13 and 14**. Additionally, in situations where a soft-handoff is occurring, a fourth and fifth set of time-offsets may also be selected (blocks **716**, **718**) which correspond to the first and second sets of time-offsets, except they are taken with respect to the multipaths of a 15 second composite signal that is received from a second active base station.

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In the present disclosure, operations of the present invention are described, in part, by a series of flowcharts and block diagrams. It will be appreciated that blocks and combinations of blocks of these flowcharts and block diagrams can be implemented using special purpose hardware such as discrete analog and/or digital hardware, ASICs or gate arrays used to implement apparatus, such as the receiver apparatus described herein with reference to **Figs. 5 and 6**. The blocks and combinations of blocks of the flowcharts and block diagrams can also be implemented using computer program instructions which may be loaded and executed on a computer or other programmable apparatus, such as a microcontroller, microprocessor, ASIC, DSP or other processing circuit used to implement 30

apparatus, such as the receiver apparatus described herein with reference to Figs. 5 and 6, to produce a machine such that the instructions which execute on the computer or other programmable data processing apparatus create means for implementing the functions specified in the flowchart block or blocks. The computer program instructions may also be 5 loaded onto a computer or other programmable data processing apparatus to cause a series of operational steps to be performed on the computer or other programmable apparatus to produce a computer implemented process such that the instructions which execute on the computer or other programmable apparatus provide steps for implementing the functions specified in the flowchart and block diagram block or blocks. Accordingly, blocks of the 10 flowcharts and block diagrams support combinations of means for performing the specified functions and combinations of steps for performing the specified functions. It will also be understood that each block of the flowcharts and block diagrams and combinations of blocks therein, can be implemented by special purpose hardware-based computer systems which perform the specified functions or steps, or combinations of special purpose hardware and computer instructions.

15 It will also be appreciated that other operations may be used in conjunction with or instead of the operations illustrated in the flow chart diagrams. For example, the selection of the subset of probing fingers to be combined may be made with respect to some relative measure other than signal-to-noise ratio (SNR), such as relative signal strength.

20 As will be appreciated by one of skill in the art, the present invention may be embodied as a method, data processing system, or computer program product. Accordingly, the present invention may take the form of an entirely hardware embodiment, an entirely software embodiment or an embodiment combining software and hardware aspects all generally referred to herein as a "circuit." Furthermore, the present invention may take the 25 form of a computer program product on a computer-usuable storage medium having computer-usuable program code means embodied in the medium. Any suitable computer readable medium may be utilized including hard disks, CD-ROMs, optical storage devices, a transmission media such as those supporting the Internet or an intranet, or magnetic storage devices.

30 In the drawings and specification, there have been disclosed typical embodiments of the invention and, although specific terms are employed, they are used in a generic and descriptive sense only and not for purposes of limitation, the scope of the invention being set forth in the following claims.